



Transfer of Assets Form

For use for account transfers from non-US brokers and banks

This form authorizes the transfer of assets from a bank or broker to Interactive Brokers. If you are transferring more than one account, please complete one form for each account that you are transferring. The type and the holder of account at your current broker should match the Interactive Brokers account receiving the assets (e.g. if the sending account is a joint account, the receiving account should be a joint account as well). If the account types do not match, there may be problem in completing the transfer and the transfer may also be rejected by Interactive Brokers.

Interactive Brokers only accepts products that are available for trading on the IB system. Certain products cannot be held in your IB account including: money market deposits, many bonds and similar fixed income instruments, many mutual funds, limited partnership units and certain classes of stock. IB will not accept transfer of any securities in registered or restricted form. In the event that securities are received in registered form or restricted form, the shares may be returned to the initiating Bank / Broker. Please note that in the Spanish market, all shares transferred to IB will be re-registered into street name as IB does not hold shares in the name of the final beneficiary. Re-registration in the Spanish market is handled by selling the shares on the exchange in the name of the final beneficiary and purchasing the shares on the exchange in the name of Interactive Brokers LLC. Please consult your tax advisor regarding any queries you may have on reporting such activity.

Although not required, it is helpful to avoid errors in the transfer process if you send a copy of the most recent account statement from the sending broker. This statement will be used solely to verify that the account and security information is correct. The statement will be destroyed as soon as the transfer is effected.

After completing the client section of this form, please forward a copy of the form with the attached delivery instructions to your current broker and a copy to Interactive Brokers.

Interactive Brokers Account Information

Interactive Brokers Account Number _____ (ex: U01010)

Account Title _____ (ex: Joe Smith Trading Inc)

Account Type _____ (ex: single, joint, corporate, trust, etc)

Social Security Number or Tax ID Number on Account (only for US residents) _____

Account to be transferred to Interactive Brokers
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Name of Bank/Broker Currently Holding Your Account _____

Address of Current Bank/Broker _____

City/State/Country _____

Name of Contact Person at Bank/Broker _____

Email Address _____

Account Owner Name(s) _____

Account Number _____

INTERACTIVE BROKERS DELIVERY INSTRUCTIONS

Please free deliver all assets for account transfers to Interactive Brokers to the accounts indicated below.

Please indicate "Account Transfer" with the related IB Account number in the delivery instructions.

Please direct any questions or concerns regarding these instructions to the Interactive Brokers Service Department, Clearing Team at:

Phone + (41-41) 726-9500

I –STOCKS, WARRANTS AND ETFs

UK

CREST

To/From Crest Account number: 6DKAV
Account Holder: Interactive Brokers LLC

GERMANY

Clearstream Banking Frankfurt – CBF

To/From CBF Account: 8587
Account Holder: Interactive Brokers LLC

FRANCE

Euroclear Settlement of Euronext-zone Securities (ESES)

To/From ESES: 611
Account Holder: Euroclear Bank
For further credit to Interactive Brokers LLC
/EOC/10580/

BELGIUM

Euroclear Settlement of Euronext-zone Securities (ESES)

To/From ESES: 611
Account Holder: Euroclear Bank
For further credit to Interactive Brokers LLC
/EOC/10580/

NETHERLANDS

Euroclear Settlement of Euronext-zone Securities (ESES)

To/From ESES: 611
Account Holder: Euroclear Bank
For further credit to Interactive Brokers LLC
/EOC/10580/

AUSTRIA

Österreichische Kontrollbank (OEKB)

To/From OEKBAccount: 212100
Account Holder: Timber Hill IB-C
For further credit to Interactive Brokers LLC
BIC Code: TMBECH22XXX

PLEASE PROVIDE TO DELIVERING BROKER

SWITZERLAND

Sega Intersettle - SIS

To/From SIS Account: US100244
Account Holder: Interactive Brokers LLC

SWEDEN

VPC

To/From SEB
BIC Code: ESSESESS
Account 1001-152-34
Account Holder: Timber Hill AG
BIC Code: TMBECH22XXX

SPAIN

Servicio de Compensación y Liquidación de Valores (SCLV)

To/From SCLV
Bank: Citibank Madrid
BIC Code: CITIESMX
Account 6127362243
Account Holder: Interactive Brokers LLC
BIC Code: IBKRUS33XXX

ITALY

BVME

To/From BNP Paribas Securities Services, Milan
BIC: PARBITMMXXX
Monte Titoli T2S SAC Account: MOTIPARBITMMXXX6046000
DEAG/REAG: PARBITMM095
BIC Code: IBKRUS33XXX
Securities Account: 830100 (Italian non-resident)
Securities Account: 830101 (Italian resident private) Securities
Account: 830102 (Italian resident organisations) Account
Holder: Interactive Brokers LLC

NORWAY

To/From SEB
BIC Code: ESSENOKXXXX
Account 097500097221
Account Holder: Interactive Brokers LLC
BIC Code: IBKRUS33XXX

USA (NON-ACAT ELIGIBLE)

DTC

To/From DTC Account: 534
Account Holder: Interactive Brokers LLC
BIC Code: IBKRUS33XXX

CANADA (NON-ATON ELIGIBLE)

CDS (Canadian Depository System)

To/From CDS Cuid THCI
Account Holder: Interactive Brokers LLC

PLEASE PROVIDE TO DELIVERING BROKER

MEXICO

SD INDEVAL

To/From BBVA (Banco Bilbao Vizcaya Argentaria) Bancomer
BIC: BCMRMXMM
Account Number: 40019192
Account Holder: Interactive Brokers LLC

HONG KONG

Central Clearing and Settlement System (CCASS)

To/From Timber Hill Securities Hong Kong
BIC Code: IBKRHKHH
Participant ID: B01590
Account Holder: Interactive Brokers LLC

JAPAN

Japan Securities Depository Inc. (JASDEC)

Name of custodian: CITIBANK JAPAN LTD.
SWIFT (BIC) code: CITIJPJT
JASDEC code: 0040180
Name of beneficiary: Interactive Brokers LLC
Beneficiary SWIFT (BIC) code: IBKRUS33
Client number: UIBLJP

AUSTRALIA

Australian Clearing House and Electronic Sub-register System (CHES)

To/From ABN AMRO Clearing Sydney Nominees
BIC Code: FCSPAU21XXX
Settlement CHES PID: 01193

PLEASE PROVIDE TO DELIVERING BROKER

II – DERIVATIVES CONTRACTS

FRANCE, BELGIUM OR THE NETHERLANDS

CLEARNET

To/From
Clearnet Member Account 04149
For position account 70224

UK

LIFFE/LCH

To/From LIFFE
Clearing Member IBK
For account S

GERMANY

EUREX

To/From EUREX
Clearing Member THECX
For Account A1

SPAIN

MEFF

To/From MEFF
Clearing Member A144
Account Holder: New Edge
For further credit to L 200 0T185

ITALY

IDEM

To/From IDEM
Clearing Member 22520
Account Holder: BNP Paribas
For further credit to OMN